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(5) PATENT

**CERTIFICATE OF MAILING**

I hereby certify that on May 1, 2002, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. § 1.8(a) <input checked="" type="checkbox"/> with sufficient postage as first class mail	37 C.F.R. § 1.10 <input type="checkbox"/> as "Express Mail Post Office to Addressee" Mailing Label No.
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*Allie Barrett*  
Allie Barrett

Applicant: Duchon et al.  
Serial No.: 10/076,895  
Filed: February 14, 2002  
Title: **CATHETER FLUID CONTROL SYSTEM**  
Group Art Unit: 3761  
Examiner: Unknown  
Docket No.: 11906-346

RECEIVED  
MAY 14 2002  
OFFICE OF PETITIONS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**TRANSMITTAL OF NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed for filing are the following:

1. Submission of Missing Parts of Nonprovisional Application;
2. Copy of Notice to File Missing Parts of Nonprovisional Application dated March 13, 2002;
3. Declaration for Patent Application and Power of Attorney;
4. Substitute Formal Drawings in compliance with 37 CFR 1.84;
5. Request for Correction of Small Entity Status to Large Entity Status;
6. Assignment;
7. Request for Filing Receipt Correction;
8. Copy of Filing Receipt;
9. Filing fee for Notice of Missing Parts: \$130.00;  
Deficiency payment owed under 37 C.F.R. §1.28(c): \$583.00; and

10076895-051002

Method of Payment of Fee:

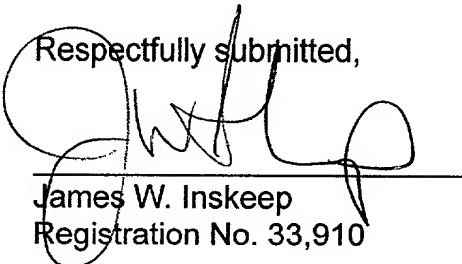
- ☐ Attached is a check for \_\_\_\_\_.
- ☒ Charge Account 50-1901 (\$713.00)
- ☒ If any additional filing fees are due, please charge Account 50-1901.

10. Return Postcard.

Please acknowledge receipt of these materials by stamping the date on the enclosed, stamped self-addressed card.

Dated: May 1, 2002

Respectfully submitted,

  
James W. Inskeep  
Registration No. 33,910

OPPENHEIMER WOLFF & DONNELLY LLP  
840 Newport Center Drive, Suite 700  
Newport Beach, CA 92660  
Telephone: 949.823.6000  
Facsimile: 949.823.6100

Customer No.: 25,204

#3

PATENT



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*Allie Barrett*  
Allie Barrett

Applicant: Duchon et al.  
Serial No.: 10/076,895  
Filed: February 14, 2002  
Title: CATHETER FLUID CONTROL SYSTEM

Group Art Unit: 3761  
Examiner: Unknown  
Docket No.: 11906-346

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OFFICE OF PETITION

Name of Assignee: ACIST Medical Systems, Inc.  
Address of Assignee: 7450 Flying Cloud Drive, Suite 150  
Eden Prairie, MN 55344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR CORRECTION OF SMALL ENTITY STATUS  
TO LARGE ENTITY STATUS (37 C.F.R. § 1.28(c))

05/14/2002 GTEFFERA 00000116 501901 10076895

Commissioner for Patents  
Washington, D.C. 20231

01 FC:101 740.00 CH  
02 FC:102 84.00 CH  
03 FC:103 342.00 CH

Sir:

Applicant hereby notifies the Patent and Trademark Office that its status as a small entity was established, in good faith, in error when filing the above-referenced application. An itemization of the deficiency payment is as follows:

Type of Fee	Current Large Entity Fee Amount	Small Entity Fee Actually Paid	Date Fee Paid	Deficiency Amount Owed
Utility Filing Fee	\$740.00	\$370.00	02/14/2002	\$370.00
Total Claims (19) Fee	\$342.00	\$171.00	02/14/2002	\$171.00
Independent Claims (4) Fee	\$84.00	\$42.00	02/14/2002	\$42.00


Total Deficiency Payment Owed: \$583.00

Adjustment date: 05/14/2002 GTEFFERA  
02/25/2002 EHAILEI 00000062 501901 10076895  
01 FC:201 370.00 CR  
02 FC:202 42.00 CR  
03 FC:203 171.00 CR

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ORIGINALLY FILED

The Commissioner is hereby authorized to charge the \$583.00 deficiency payment owed to Deposit Account No. 50-1901. The Commissioner is authorized to charge payment of any additional filing fees or credit any overpayment to Deposit Account No. 50-1901. A duplicate copy of this sheet is attached.

Respectfully submitted,

  
James W. Inskeep  
Registration No. 33,910

Dated: May 1, 2002

OPPENHEIMER WOLFF & DONNELLY LLP  
840 Newport Center Drive, Suite 700  
Newport Beach, CA 92660  
Telephone: (949) 823-6000/Facsimile: (949) 823-6100

Customer No. 25,204

100547-051002



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I hereby certify that on May 1, 2002, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

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*Althe Barrett*  
Althe Barrett

Applicant: Duchon et al.  
Serial No.: 10/076,895  
Filed: February 14, 2002  
Title: CATHETER FLUID CONTROL  
SYSTEM  
Group Art Unit: 3761  
Examiner: Unknown  
Docket No.: 11906-346

RECEIVED  
MAY 14 2002  
OFFICE OF PETITIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUBMISSION OF MISSING PARTS OF NONPROVISIONAL APPLICATION

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated March 13, 2002 (copy enclosed), applicant submits the enclosed (1) Declaration for Patent Application and Power of Attorney, and (2) Substitute Formal Drawings in compliance with 37 CFR 1.84.

The Commissioner is hereby authorized to charge the \$130 surcharge to Deposit Account No. 50-1901. The Commissioner is authorized to charge payment of any additional filing fees or credit any overpayment to Deposit Account No. 50-1901. A duplicate copy of this sheet is attached.

Respectfully submitted,

*James W. Inskeep*  
James W. Inskeep  
Registration No. 33,910

May 1, 2002

OPPENHEIMER WOLFF & DONNELLY LLP  
840 Newport Center Drive, Suite 700  
Newport Beach, California 92660  
Telephone: 949.823.6000  
Facsimile: 949.823.6100

Customer No.: 25,204

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OC: 150544 v01 04/29/2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,895	02/14/2002	Douglas J. Duchon	11906/346

CONFIRMATION NO. 3623

## FORMALITIES LETTER



\*OC000000007628854\*

James W. Inskeep  
Oppenheimer Wolff & Donnelly LLP  
Suite 700  
840 Newport Center Dr.  
Newport Beach, CA 92660

Date Mailed: 03/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));

05/14/2002 GTEFFERA 00000116 501901 10076895

04 FC:105 130.00 CH

COPY OF PAPERS  
ORIGINALLY FILED

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10076895-051002

*A copy of this notice MUST be returned with the reply.*

*Jarwaye A.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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